

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
8 August 2002 (08.08.2002)

PCT

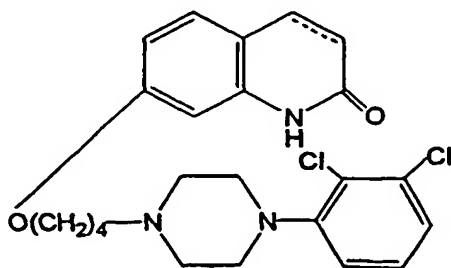
(10) International Publication Number  
**WO 02/060423 A2**(51) International Patent Classification<sup>7</sup>: **A61K 31/00**,  
31/496, 45/06, A61P 25/24, 25/18, 25/28, 25/16, 25/06,  
25/30, 15/00, 3/04, 1/08Kamirokujo, Kamiitacho, Itano-gun, Tokushima 771-1345  
(JP). **HIROSE, Tsuyoshi**; 8-9-502, Sako Ichibancho,  
Tokushima-shi, Tokushima 770-0021 (JP). **UWAHODO**,  
Yasufumi; 70-8, Aza Miyanomae, Oujincho Furukawa,  
Tokushima-shi, Tokushima 771-1151 (JP).(21) International Application Number: **PCT/JP02/00626**

(22) International Filing Date: 29 January 2002 (29.01.2002)

(74) Agents: **ASAMURA, Kiyoshi et al.**; Room 331, New  
Ohtemachi Bldg., 2-1, Ohtemachi 2-chome, Chiyoda-ku,  
Tokyo 100-0004 (JP).

(25) Filing Language: English

(26) Publication Language: English

(81) Designated States (*national*): AU, BR, CA, CN, ID, IN,  
JP, KR, MX, PH, SG.(30) Priority Data:  
09/770,210 29 January 2001 (29.01.2001) US(84) Designated States (*regional*): European patent (AT, BE,  
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,  
NL, PT, SE, TR).(71) Applicant: **OTSUKA PHARMACEUTICAL CO.,  
LTD.** [JP/JP]; 9, Kanda-Tsukasacho 2-chome, Chiy-  
oda-ku, Tokyo 101-8535 (JP).**Published:**— without international search report and to be republished  
upon receipt of that report(72) Inventors: **JORDAN, Shaun**; 21063 Sojourn Court, Ger-  
mantown, Maryland, MD 20876 (US). **KIKUCHI, Tet-  
suro**; 157-13, Kawauchicho Komatsunishi, Tokushima-shi,  
Tokushima 771-0104 (JP). **TOTTORI, Katsura**; 15-1,For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.(54) Title: 5-HT<sub>1A</sub> RECEPTOR SUBTYPE AGONIST

(1)

(57) Abstract: The present invention relates to use of  
a compound for the production of a medicament for  
treating a patient suffering from a disorder of the central  
nervous system associated with 5-HT<sub>1A</sub> receptor subtype,  
which the medicament comprising as an active ingredient  
a carbostyryl derivative or a salt thereof represented by  
the formula (1), wherein the carbon-carbon bond between  
3- and 4-positions in the carbostyryl skeleton is a single  
or a double bond; and a pharmaceutically acceptable salt  
or solvate thereof.

5-HT<sub>1A</sub> RECEPTOR SUBTYPE AGONIST

## BACKGROUND OF THE INVENTION

## FIELD OF THE INVENTION

The present invention relates to a method of treating a patient suffering from a disorder of the central nervous system associated with the 5-HT<sub>1A</sub> receptor subtype. The active ingredient comprise a carbostyryl derivative or a salt thereof.

## RELATED ART

U.S. Patent No. 5,006,528; European Patent No. 367,141 and Japanese Patent Kokai (Laid-open) 7-304,740 (1995) contain the same chemical structural formula as the carbostyryl derivatives in the present invention, and their pharmacological properties are beneficial drug treatments for schizophrenia.

Carbostyryl compounds, as well as those disclosed in Japanese Patent Kokai (Laid-open) 9-301,867 (1997) are useful for the treatment of anxiety.

The carbostyryl derivatives disclosed in European Patent No. 226,441 have the genus of the carbostyryl derivatives in the present invention, and they are useful for the treatment of hypoxia.

In addition to the above, the carbostyryl derivatives disclosed in U.S. Patent No. 4,734,416; Canadian Patent No. 1,117,110; British Patent No. 2,017,701; German Patent Nos. 2,911,108, 1,912,105 and

- 2 -

2,953,723; Japanese Patent Kokai (Laid-open) Nos. 54-130,587 (1979), 55-127,371 (1980) and 62-149,664 (1987) have the genus of the carbostyryl derivatives in the present invention, and they have antihistaminic  
5 activities and central nervous controlling activities.

It is reported that aripiprazole (7-{4-[4-(2,3-dichlorophenyl)-1-piperazinyl]butoxy}-3,4-dihydro-carbostyryl, also known as, OPC-14597, BMS-337,039 and OPS-31) binds with high affinity to dopamine D<sub>2</sub>  
10 receptors and with moderate affinity to dopamine D<sub>3</sub> and 5-HT<sub>1</sub> receptors (Masashi Sasa et al., CNS Drug Reviews, Vol. 3, No. 1, pp. 24-33).

Further, it is reported that aripiprazole possesses presynaptic dopaminergic autoreceptor  
15 agonistic activity, postsynaptic D<sub>2</sub> receptor antagonistic activity, and D<sub>2</sub> receptor partial agonistic activity (T. Kikuchi, K. Tottori, Y. Uwahodo, T. Hirose, T. Miwa, Y. Oshiro and S. Morita: J. Pharmacol. Exp. Ther., Vol. 274, pp. 329, (1995); T. Inoue, M.  
20 Domae, K. Yamada and T. Furukawa: J. Pharmacol. Exp. Ther., Vol. 277, pp. 137, (1996)).

However, it has not been reported that compounds in the present invention have agonistic activity at 5-HT<sub>1A</sub> receptor subtype.

25 It has been reported that therapeutic interventions using 5-HT<sub>1A</sub> receptor ligands may be useful drug treatments for alcohol abuse (Mark Kleven et al., European Journal of Pharmacology, Vol. 281,

(1995) pp. 219-228).

It is also reported that 5-HT<sub>1A</sub> agonist drugs may be useful for the treatment and/or prophylaxis of disorders associated with neuronal degeneration resulting from ischemic events in mammals (U.S. Patent No. 5,162,375).

It is also reported that 5-HT<sub>1A</sub> receptor hypersensitivity could be the biological basis for the increased frequency of migraine attack in stressful and anxious conditions (Massimo Leone et al., Neuro Report, Vol. 9, pp. 2605-2608 (1998)).

It has recently been reported that (-)-(R)-2-[4-[[[(3,4-dihydro-2H-1-benzopyran-2-yl)methyl]amino]butyl]-1,2-benzisothiazol-3(2H)-one 1,1-dioxide monohydrochloride (BAY-3702), a 5-HT<sub>1A</sub> receptor agonist, has neuroprotective, anxiolytic- and antidepressant-like effects in animal models (Jean De Vry et al., European Journal of Pharmacology, Vol. 357, (1998), pp. 1-8).

It is also reported that 5-HT<sub>1A</sub> receptor agonists appear to be broad spectrum antiemetic agents (Mary C. Wolff et al., European Journal of Pharmacology, Vol. 340, (1997), pp. 217-220; AB Alfieri et al., British Journal of Cancer, (1995), Vol. 72, pp. 1013-1015; Mary C. Wolff et al., Pharmacology Biochemistry and Behavior, 1995, Vol. 52, No. 3, pp. 571-575; James B. Lucot, European Journal of Pharmacology, 1997, Vol. 253, pp. 53-60).

Serotonin plays a role in several neurological and psychiatric disorders, including Alzheimer's disease, depression, nausea and vomiting, eating disorders, and migraine. (See Rasmussen et al.,  
5 "Chapter 1. Recent Progress in Serotonin 5HT<sub>1A</sub> Receptor Modulators", in Annual Reports in Medicinal Chemistry, Vol. 30, Section I, pp. 1-9, 1995, Academic Press, Inc.). WO 00/16777 discloses that a 5HT<sub>1A</sub> receptor agonist, buspirone is efficacious in treating a variety  
10 of symptoms associated with ADHD, and that combined use of a D2 receptor agonist and 5-HT<sub>1A</sub> agonist provides effective treatments for ADHD and Parkinson's disease.

5HT<sub>1A</sub> agonists are effective in the treatment of cognitive impairment in Alzheimer's disease,  
15 Parkinson's disease or senile dementia. US 5824680 discloses that a 5-HT<sub>1A</sub> agonist, ipsapirone, is effective in treating Alzheimer's disease by improving memory. US 4687772 describes that a 5-HT<sub>1A</sub> partial agonist, buspirone, is useful for improving short term  
20 memory in patients in need of treatment. WO 93/04681 discloses that use of 5-HT<sub>1A</sub> partial agonists have been used for the treatment or prevention of cognitive disorders associated with Alzheimer's disease, Parkinson's disease or senile dementia.

25 5HT<sub>1A</sub> agonists are also effective in the treatment of depression. US 4771053 describes that a 5-HT<sub>1A</sub> receptor partial agonist, gepirone, is useful in alleviation of certain primary depressive disorders,

- 5 -

such as severe depression, endogenous depression, major depression with melancholia, and atypical depression. WO 01/52855 discloses that the combined use of the 5-HT<sub>1A</sub> receptor partial agonist gepirone with an  
5 antidepressant can effectively treat depression.

The 5-HT<sub>1A</sub> receptor partial agonist buspirone alleviates motor disorders such as neuroleptic induced parkinsonism and extrapyramidal symptoms. These observations are disclosed in US 4438119. Furthermore  
10 5-HT<sub>1A</sub> agonists reverse neuroleptic-induced catalepsy in rodents, which mimic movement impairments observed in Parkinson's disease (Mark J. Millan, Journal of Pharmacology and Experimental Therapeutics, 2000, Vol. 295, p853-861). Thus, aripiprazole can be used to  
15 manage psychosis in geriatric patients, Alzheimer's disease, Parkinson's disease or senile dementia, since it possesses potent, partial agonistic activities at D<sub>2</sub> and 5-HT<sub>1A</sub> receptors. In addition, these patients might not experience extrapyramidal symptoms due to this  
20 property of aripiprazole.

Heretofore, schizophrenia is understood to be caused by hyperactivity in the brain dopaminergic system. For this reason, some drugs were developed with strong dopaminergic receptor blocking activity.  
25 These typical antipsychotic drugs are effective in the treatments for the positive symptoms of schizophrenia, which include hallucinations, delusions and the like. During the last decade, a variety of atypical anti-

psychotic drugs have been developed, which include clozapine, risperidone, olanzapine, quetiapine. These drugs have less extrapyramidal side effects, and have other activities in addition to their DA-receptor blocking activities. In contrast to typical antipsychotic drugs, such as chlorpromazine, haloperidol, etc., it is reported that atypical antipsychotic drugs are more effective against the negative symptoms and cognitive impairments associated with schizophrenia than typical antipsychotic drugs, and atypical antipsychotic drugs also have less extrapyramidal side effects (S. Miyamoto, G. E. Duncan, R. B. Mailman and J. A. Lieberman: Current Opinion in CPNS Investigational Drugs, Vol. 2, pp. 25, (2000)). However, even though atypical antipsychotic drugs provide a suitable pharmacotherapy for schizophrenia, certain patients are resistant to the antipsychotic therapies of these drugs. These patients may either not respond or may become refractory (i.e. may feel more anxious, depressed or cognitive dysfunction) in response to antipsychotic therapy. These treatment-resistant patients pose a problem for how a physician may provide an appropriate therapy.

At present, a number of treatment-resistant and treatment-refractory schizophrenic patients display symptoms that do not respond adequately to a variety of known effective classes and doses of typical or atypical antipsychotic drugs. Furthermore, these

patients may also be inveterate schizophrenia or chronic schizophrenics who are often repeatedly admitted to and discharged from hospitals (R. R. Conely and R. W. Buchanan: Schizophr. Bull., Vol. 23, pp. 663, 5 (1997)).

Symptoms of patients corresponding to treatment-resistant and treatment-refractory schizophrenics involve not only the positive symptoms, but also the negative symptoms and emotional disorders, 10 as well as cognitive impairments (i.e., cognitive dysfunction or cognitive disturbances) (K. Akiyama and S. Watanabe: Jpn. J. Clin. Psychopharmacol., Vol. 3, pp. 423, (2000)).

Cognitive impairment exists separately from 15 the psychic symptoms in a schizophrenic individual. Thus, medical treatment is therefore quite important, because the cognitive impairment may disturb the socially adaptable behavior of these individuals (C. Hagger, P. Buckley, J. T. Kenny, L. Friedman, D. Ubogy 20 and H. Y. Meltzer: Biol. Psychiatry, Vol. 34, pp. 702, (1993); T. Sharma and D. Mockler: J. Clin. Psychopharmacol., Vol. 18, (Suppl. 1), pp. 128, (1998)).

At present, clozapine is an antipsychotic drug that is effective against treatment-resistant 25 schizophrenia. Clozapine (marketed under the name of Clozaril) was approved in 1990 by FDA for the treatment and management of severely ill schizophrenics who failed to respond adequately to standard antipsychotic



therapy (M. W. Jann: Pharmacotherapy, Vol. 11, pp. 179, (1991)). Clozapine has been reported to be effective against cognitive impairments in treatment-resistant schizophrenics (C. Hagger, P. Buckley, J. T. Kenny, L. Friedman, D. Ubogy and H. Y. Meltzer: Biol. Psychiatry, Vol. 34, pp. 702, (1993); M. A. Lee, P. A. Thompson and H. Y. Meltzer: J. Clin. Psychiatry, Vol. 55 (Suppl. B), pp. 82, (1994); D. E. M. Fujii, I. Ahmed, M. Jokumsen and J. M. Compton: J. Neuropsychiatry Clin. Neurosci., Vol. 9, pp. 240, (1997)). For example, it is reported that clozapine improves cognitive impairments in attention, response time, fluent-speech, etc. in treatment-resistant schizophrenics (M. A. Lee, P. A. Thompson and H. Y. Meltzer: J. Clin. Psychiatry, Vol. 55 (Suppl. B), pp. 82, (1994)). It has been also reported that clozapine provides effective improvements in cognitive impairments in an objective evaluation scale of the Wechsler Adult Intelligence Scale-Revised Full Scale (D. E. M. Fujii, I. Ahmed, M. Jokumsen and J. M. Compton: J. Neuropsychiatry Clin. Neurosci., Vol. 9, pp. 240, (1997)).

The 5-HT<sub>1A</sub> receptor has been demonstrated to play a role in the therapeutic efficacy of clozapine against treatment-resistant schizophrenia and cognitive impairments. This relationship was revealed by a binding experiment using human 5-HT<sub>1A</sub> receptors (S. L. Mason and G. P. Reynolds: Eur. J. Pharmacol., Vol. 221, pp. 397, (1992)). Further, in accordance with

- 9 -

progress in molecular pharmacology, it is clearly understood that 5-HT<sub>1A</sub> receptor agonistic activity or 5-HT<sub>1A</sub> receptor partial agonistic activity plays an important role in treatment-resistant schizophrenia and cognitive impairments (A. Newman-Tancredi, C. Chaput, L. Verrielle and M. J. Millan: Neuropharmacology, Vol. 35, pp. 119, (1996)). Additionally, it was reported that the number of 5-HT<sub>1A</sub> receptor is increased in the prefrontal cortex of chronic schizophrenics who were classified treatment-resistant. This observation was explained by a compensatory process where by the manifestation of severe symptoms of chronic schizophrenia are a result of impaired neuronal function mediated by hypofunctional 5-HT<sub>1A</sub> receptors (T. Hashimoto, N. Kitamura, Y. Kajimoto, Y. Shirai, O. Shirakawa, T. Mita, N. Nishino and C. Tanaka: Psychopharmacology, Vol. 112, pp. S35, (1993)). Therefore, a lowering in neuronal transmission mediated through 5-HT<sub>1A</sub> receptors is expected in treatment-resistant schizophrenics. Thus the clinical efficacy of clozapine may be related to its partial agonist efficacy at the 5-HT<sub>1A</sub> receptors (A. Newman-Tancredi, C. Chaput, L. Verrielle and M. J. Millan: Neuropharmacology, Vol. 35, pp. 119, (1996)). 5-HT<sub>1A</sub> receptor agonistic activity may be related to the clinical effects of clozapine, and this hypothesis is supported by a positron emission tomography study in primates which showed that clozapine interacts with brain 5-HT<sub>1A</sub>

receptors at a therapeutically effective dose (Y. H. Chou, C. Halldin and L. Farde: Int. J. Neuropsychopharmacol., Vol. 4 (Suppl. 3), pp. S130, (2000)).

Furthermore tandospirone, which is known as a selective  
5 5-HT<sub>1A</sub> receptor agonist, improved cognitive impairments  
in chronic schizophrenic patients (T. Sumiyoshi, M. Matsui, I. Yamashita, S. Nohara, T. Uehara, M. Kurachi and H. Y. Meltzer: J. Clin. Pharmacol., Vol. 20, pp. 386, (2000)). While, in animal tests, all reports do  
10 not always suggest that 5-HT<sub>1A</sub> receptor agonist activity  
may be related to cognitive impairment, however, 8-OH-DPAT (8-hydroxy-2-(di-n-propylamino)tetralin), which is  
known as a selective 5-HT<sub>1A</sub> receptor agonist, improves  
learning and memory impairments induced by scopolamine  
15 known as a muscarinic receptor antagonist, suggesting a  
relationship between 5-HT<sub>1A</sub> receptor agonistic activity  
and improvements in cognitive impairments (M. Carli, P. Bonalumi, R. Samanin: Eur. J. Neurosci., Vol. 10, pp. 221, (1998); A. Meneses and E. Hong: Neurobiol. Learn.  
20 Mem., Vol. 71, pp. 207, (1999)).

Atypical antipsychotic drugs, such as risperidone and olanzapine, were marketed after clozapine, and it is reported that these drugs improve  
treatment-resistant schizophrenia or cognitive impair-  
25 ments in treatment-resistant schizophrenics (M. F. Green, B. D. Marshall, Jr., W. C. Wirshing, D. Ames, S. R. Marder, S. McGurck, R. S. Kern and J. Mintz: Am. J. Psychiatry, Vol. 154, pp. 799, (1997); G. Bondolifi, H.

- 11 -

Dufour, M. Patris, J. P. May, U. Billeter, C. B. Eap  
and P. Baumann, on behalf of the risperidone Study  
Group: Am. J. Psychiatry, Vol. 155, pp. 499, (1998); A.  
Breier, S. H. Hamilton: Biol. Psychiatry, Vol. 45, pp.  
5 403, (1999)).

In contrast to reports that clozapine was  
moderately effective against treatment-resistant  
schizophrenia, risperidone and olanzapine were not  
consistently superior to typical antipsychotic drugs in  
10 their effectiveness against treatment-resistant  
schizophrenia. Thus, risperidone and olanzapine bind  
with lower affinity to human 5-HT<sub>1A</sub> receptors (S.  
Miyamoto, G. E. Duncan, R. B. Mailman and J. A.  
Lieberman: Current Opinion in CPNS Investigational  
15 Drugs, Vol. 2, pp. 25, (2000)), and as such these drugs  
can not clearly perform activities through human 5-HT<sub>1A</sub>  
receptors at clinical effective doses.

Therefore, at present, it is understood that  
clozapine is effective against treatment-resistant  
20 schizophrenia (D. W. Bradford, M. H. Chakos, B. B.  
Sheitman, J. A. Lieberman: Psychiatry Annals, Vol. 28,  
pp. 618, (1998); A. Inagaki: Jpn. J. Clin. Psycho-  
pharmacol., Vol. 3, pp. 787, (2000)).

As explained above, 5-HT<sub>1A</sub> receptor agonistic  
25 activity is important for improving treatment-resistant  
schizophrenia or cognitive impairment caused by  
treatment-resistant schizophrenia. Clozapine is  
effective against treatment-resistant schizophrenia,

- 12 -

however, its use is limited due to its severe side-effect of producing agranulocytosis which requires patients to undergo periodical blood tests. Under these circumstances, the development of a safe anti-  
5 psychotic drug with potent, full or partial agonist activity at 5-HT<sub>1A</sub> receptors is earnestly desired.

The carbostyryl compound in the present invention binds with high affinity and displays a potent, partial agonist activity at the 5-HT<sub>1A</sub> receptors  
10 and it has higher intrinsic activity (about 68%) as compared with that of clozapine. Therefore, the compound in the present invention has a 5-HT<sub>1A</sub> receptor agonistic activity that is more potent than the agonistic activity of clozapine. Thus, the present  
15 carbostyryl compound may represent a more potent and highly safe drug for curing treatment-resistant schizophrenia, cognitive impairments caused by treatment-resistant schizophrenia, inveterate schizophrenia, cognitive impairments caused by  
20 inveterate schizophrenia, chronic schizophrenia, cognitive impairments caused by chronic schizophrenia and the like, as compared with other currently available pharmacotherapeutic treatments. That is, the compound in the present invention may prove to be a  
25 potent and safer drug therapy for treatment-resistant schizophrenia, cognitive impairments caused by treatment-resistant schizophrenia, inveterate schizophrenia, cognitive impairments caused by inveterate

- 13 -

schizophrenia, chronic schizophrenia, or cognitive impairments caused by chronic schizophrenia, etc., which fail to respond adequately to currently available antipsychotic drugs such as chlorpromazine,  
5 haloperidol, sulpiride, fluphenazine, perphenazine, thioridazine, pimozide, zotepine, risperidone, olanzapine, quetiapine, amisulpride, etc.

In particular, the carbostyryl compound in the present invention may be a potent and highly safe  
10 drug therapy against treatment-resistant schizophrenia, cognitive impairments caused by treatment-resistant schizophrenia, inveterate schizophrenia, cognitive impairments caused by inveterate schizophrenia, chronic schizophrenia or cognitive impairments caused by  
15 chronic schizophrenia, etc. which fail to respond adequately to both of 1 to 3 typical antipsychotic drugs selected from the group consisting of chlorpromazine, haloperidol and perphenazine, and one atypical antipsychotic drug selected from the group  
20 consisting of risperidone, olanzapine, quetiapine and amisulpride.

Moreover, the compound in the present invention may be a potent and highly safe drug therapy against treatment-resistant schizophrenia, cognitive  
25 impairments caused by treatment-resistant schizophrenia, inveterate schizophrenia, cognitive impairment caused by inveterate schizophrenia, chronic schizophrenia or cognitive impairment caused by chronic

- 14 -

schizophrenia, etc. which fail to respond adequately to both of 2 typical antipsychotic drugs selected from the group consisting of chlorpromazine, haloperidol and perphenazine, and one atypical antipsychotic drug  
5 selected from the group consisting of risperidone, olanzapine, quetiapine and amisulpride.

Moreover, the compound in the present invention may be a potent and highly safe drug therapy against treatment-resistant schizophrenia, cognitive  
10 impairments caused by treatment-resistant schizophrenia, inveterate schizophrenia, cognitive impairments caused by inveterate schizophrenia, chronic schizophrenia, cognitive impairments caused by chronic schizophrenia, etc. which fail to respond adequately to  
15 both of 1 to 2 typical antipsychotic drugs selected from the group consisting of chlorpromazine and haloperidol, and one atypical antipsychotic drug selected from the group consisting of risperidone, olanzapine, quetiapine and amisulpride.

20 Moreover, the compound in the present invention may be a potent and highly safe drug therapy against treatment-resistant schizophrenia, cognitive impairments caused by treatment-resistant schizophrenia, inveterate schizophrenia, cognitive impairment  
25 caused by inveterate schizophrenia, chronic schizophrenia or cognitive impairment caused by chronic schizophrenia, etc. which fail to respond adequately to both of 2 typical antipsychotic drugs selected from the

- 15 -

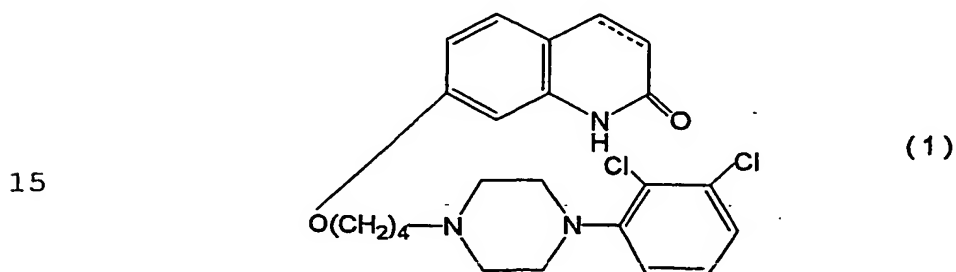
group consisting of chlorpromazine and haloperidol, and one atypical antipsychotic drug selected from the group consisting of risperidone, olanzapine, quetiapine and amisulpride.

## 5 SUMMARY OF THE INVENTION

It is an object of the present invention to provide a method of treating a patient suffering from a disorder of the central nervous system associated with the 5-HT<sub>1A</sub> receptor subtype.

## 10 DETAILED DESCRIPTION OF THE INVENTION

As the 5-HT<sub>1A</sub> receptor subtype agonist compound for use in accordance with the present invention, carbostyryl derivatives represented by the following formula (1) are used:



wherein the carbon-carbon bond between 3- and 4-positions in the carbostyryl skeleton is a single or a double bond.

The compounds of the forgoing general formula  
20 (1) are known compounds, which are disclosed in  
publication such as U.S. Pat. No. 5,006,528 or which



can be readily prepared by the processes described in the above publication.

The carbostyryl derivative represented by the formula (1) in the present invention can easily be converted into its acid-addition salt by reacting it with a pharmaceutically acceptable acid. Examples of such acid include inorganic acids, such as hydrochloric acid, sulfuric acid, phosphoric acid, hydrobromic acid and the like; organic acids, such as oxalic acid, maleic acid, fumaric acid, malic acid, tartaric acid, citric acid, benzoic acid and the like.

The solvent of solvates is a solvent conventionally used in recrystallization. Examples of solvates include hemihydrates, hydrates, and alcohols, such as ethanols, methanols, isopropanols and the like.

The desired compounds, prepared by the reactions mentioned above, can easily be isolated and purified by usual separation procedures such as solvent extraction, dilution, recrystallization, column chromatography, preparative thin layer chromatography and the like.

The potent, partial 5-HT<sub>1A</sub> receptor agonist in the present invention is useful for various disorders of the central nervous system associated with the 5-HT<sub>1A</sub> receptor subtype that induces bipolar disorders, such as bipolar I disorder with most recent hypomanic, manic, mixed, depressed or unspecified episode; bipolar

- 17 -

II disorder with recurrent major depressive episodes with hypomanic episodes, and cyclothymic disorder; depression, such as endogenous depression, major depression, melancholia, and treatment-resistant  
5 depression; panic disorder; obsessive compulsive disorder (OCD); sleep disorders; sexual dysfunction; alcohol abuse and drug addiction; cognitive impairment; neurodegenerative diseases, such as Alzheimer's disease, Parkinson's disease and the like, cognitive  
10 impairments caused by neurodegenerative diseases such as Alzheimer's disease, Parkinson's disease and related disorders; emesis; motion sickness; obesity; migraine; autism; Down's syndrome; attention-deficit hyperactivity disorder (ADHD); treatment-resistant,  
15 inveterate or chronic schizophrenia, (which fail to respond adequately to currently available antipsychotic drugs); cognitive impairments caused by treatment-resistant schizophrenia, inveterate schizophrenia or chronic schizophrenia and the like.

20           Compounds of the present invention may be suitably prepared into pharmaceutically acceptable formulations (see U.S. Patent No. 5,006,528, European Patent No. 367,141 and Japanese Kokai (Laid-open) 7-304,740 (1995), and Japanese Patent Application No.  
25 2000-194976 incorporated by reference herein).

The dosage of these pharmaceutical preparations of the invention may be selected appropriately depending on the method of administration, the

- 18 -

patient's age, sex and other factors, severity of the disease and other factors. Generally, however, the daily dose of the active ingredient compound is preferably within the range of about 0.0001 to about 50 mg per kilogram of body weight. It is desirable that the active ingredient compound be contained in each unit dosage form in an amount of about 0.001 to about 1,000 mg, particularly 0.01 to 100 mg, more particularly, 0.1 to 50 mg, yet more particularly 1 mg to 20 mg.

#### Pharmacological tests

#### 1. MATERIALS AND METHODS

##### 1.1 Test Compound

7-{4-[4-(2,3-Dichlorophenyl)-1-piperazinyl]-butoxy}-3,4-dihydrocarbostyryl (aripiprazole) was used as test compound.

##### 1.2 Reference Compounds

Serotonin (5-HT) and WAY-100635 (N-[2-[4-(2-methoxyphenyl)-1-piperazinyl]ethyl]-N-(2-pyridimyl)-cyclohexanecarboxamide, a 5-HT<sub>1A</sub> receptor antagonist, manufactured by RBI (Natick, MA) were used as reference compounds.

##### 1.3 Vehicle

Dimethyl sulfoxide (DMSO) manufactured by Sigma Chemical Co. (St. Louis, MO) was used as vehicle.

##### 1.4 Preparation of Test and Reference Compounds

Test compound was dissolved in 100% dimethyl

- 19 -

sulfoxide (DMSO) to yield 100  $\mu$ M stock solutions (final concentration of DMSO in all tubes containing test compound was 1%, v/v). All other reference compounds were prepared by the same method using double-distilled water rather than DMSO.

#### 1.5 Experimental Procedure for the [ $^{35}$ S]GTP $_{\gamma}$ S Binding Assay

Test and reference compounds were studied in triplicate at 10 different concentrations (0.01, 0.1, 1, 5, 10, 50, 100, 1000, 10000 and 50000 nM) for their effects upon basal [ $^{35}$ S]GTP $_{\gamma}$ S binding to h5-HT $_{1A}$  CHO cell membranes. Reactions were performed in 5 ml glass test tubes containing 8  $\mu$ l of test/reference drug mixed with 792  $\mu$ l of buffer (25 mM Tris HCl, 50 mM NaCl, 5 mM MgCl $_2$ , 0.1 mM EGTA, pH = 7.4) containing GDP (1  $\mu$ M), [ $^{35}$ S]GTP $_{\gamma}$ S (0.1 nM) and h5-HT $_{1A}$  CHO cell membranes (10  $\mu$ g protein/reaction; NEN Life Science Products, Boston, MA; catalog # CRM035, lot # 501-60024, GenBank # X13556). Reactions proceeded for 60 min at room temperature and were terminated by rapid filtration through Whatman GF/B filter paper, using a Brandel harvester and 4x3 ml ice-cold buffer washes.  $^{35}$ S radioactivity bound to the filter paper was measured using liquid scintillation counting (1272 Clinigamma, LKB/Wallach).

#### 1.6 Experimental Procedure to Determine the Binding Affinity of Test compound (aripiprazole) at the h5-HT $_{1A}$ Receptor

- 20 -

Test compound was studied in triplicate at 10 different concentrations (0.01, 0.1, 1, 10, 50, 100, 500, 1000, 5000 and 10000 nM) to determine its displacement of [<sup>3</sup>H]8-OH-DPAT (1 nM; NEN Life Sciences; catalog # NET 929, lot # 3406035, Specific Activity = 124.9 Ci/mmol) binding to h5-HT<sub>1A</sub> receptors in CHO cell membranes (15 - 20 µg protein; NEN Life Science Products, catalog # CRM035, lot # 501-60024). Membranes (396 µl) were incubated in 5 ml glass tubes containing [<sup>3</sup>H]8-OH-DPAT (396 µl), test compound or vehicle (8 µl) and buffer A (50 mM Tris.HCl, 10 mM MgSO<sub>4</sub>, 0.5 mM EDTA, 0.1% (w/v) ascorbic acid, pH = 7.4). All assays proceeded for 60 min at room temperature and were terminated by rapid filtration through Whatman GF/B filter paper (presoaked in buffer B; 50 mM Tris.HCl, pH = 7.4), using a Brandel harvester and 4x1 ml ice-cold washes with buffer B. Non-specific binding was determined in the presence of 10 µM (+)8-OH-DPAT.

#### 1.7 Parameters Determined

Serotonin (5-HT) is a full 5-HT<sub>1A</sub> receptor agonist which stimulates increases in basal [<sup>35</sup>S]GTP<sub>γ</sub>S binding to h5-HT<sub>1A</sub> receptors in recombinant CHO cell membranes. Test compound was studied at 10 concentrations to determine their effects upon basal [<sup>35</sup>S]GTP<sub>γ</sub>S binding relative to that produced by 10 µM 5-HT. The relative potency (EC<sub>50</sub>, 95% confidence interval) and intrinsic agonist activity (% of E<sub>max</sub> for 10 µM 5-HT) was calculated for each compound by computerized non-linear

- 21 -

regression analysis of complete concentration-effect data. The binding affinity of test compound at the h5-HT<sub>1A</sub> receptor was determined by its ability to prevent [3H]8-OH-DPAT binding to CHO cell membranes that express this receptor. Non-linear regression analysis of the competition binding data was used to calculate an inhibition constant (IC<sub>50</sub>, 95% confidence interval), which is the concentration of test compound that occupies half of the h5-HT<sub>1A</sub> sites specifically bound by [3H]8-OH-DPAT. The affinity of h5-HT<sub>1A</sub> receptors for test compound (K<sub>i</sub>, 95% confidence interval) was calculated by the equation,  $K_i = (IC_{50}) / (1 + ([^3H]8-OH-DPAT) / K_d)$ , where the K<sub>d</sub> for [3H]8-OH-DPAT at h5-HT<sub>1A</sub> = 0.69 nM (NEN Life Sciences). All estimates of drug binding affinity, potency and intrinsic efficacy at the h5-HT<sub>1A</sub> receptor were calculated using GraphPad Prism version 3.00 for Windows (GraphPad Software, San Diego, CA).

## 2. RESULTS

Test compound and 5-HT produced concentration-dependent increases above basal [<sup>35</sup>S]GTP<sub>γ</sub>S binding. 1% DMSO tested alone had no effect upon basal or drug-induced [<sup>35</sup>S]GTP<sub>γ</sub>S binding.

Test compound (EC<sub>50</sub> = 2.12 nM), 5-HT (EC<sub>50</sub> = 3.67 nM), potently stimulated basal [<sup>35</sup>S]GTP<sub>γ</sub>S binding. Potency and intrinsic agonist efficacy estimates were derived by non-linear regression analysis with correla-

- 22 -

tion coefficients ( $r^2$ ) > 0.98 in each case (Table 1).

Test compound exerted partial agonist efficacies in the 65 - 70% range. WAY-100635 produced no significant change (unpaired Student's t-test) in basal [ $^{35}$ S]GTP $_{\gamma}$ S binding at all concentrations tested (Table 1). WAY-100635 did, however, completely inhibit the effects of 5-HT and test compound upon [ $^{35}$ S]GTP $_{\gamma}$ S binding to h5-HT $_{1A}$  receptors in CHO cell membranes (Table 2). Tables 1 and 2 are shown below.

10            Test compound demonstrated high affinity binding to h5-HT $_{1A}$  receptors in CHO cell membranes ( $IC_{50}$  = 4.03 nM, 95% confidence interval = 2.67 to 6.08 nM;  $K_i$  = 1.65 nM, 95% confidence interval = 1.09 to 2.48 nM).

Table 1   Potency ( $EC_{50}$ ) and Intrinsic Agonist Efficacy ( $E_{max}$ ) of Test compound and Reference Drugs in a h5-HT $_{1A}$  [ $^{35}$ S]GTP $_{\gamma}$ S CHO-cell Membrane Binding Assay.

Drug	$EC_{50}$ , nM (95% Confidence Interval)	$E_{max}$ (% $\pm$ SEM)	Goodness of Fit ( $r^2$ )
Test Compound	2.12 (0.87 to 5.16)	68.13 $\pm$ 3.16	0.986
5-HT	3.67 (1.56 to 8.63)	98.35 $\pm$ 4.47	0.986
WAY-100635	-	-	-

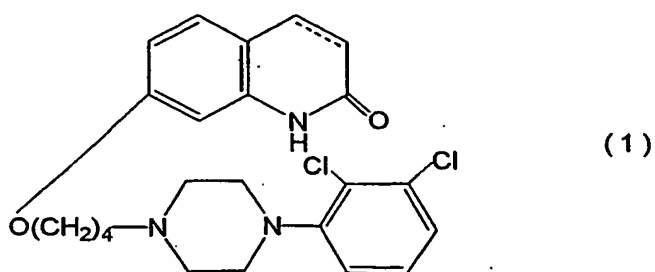
Table 2 Inhibitory Potency ( $IC_{50}$ ) of WAY-100635 versus 1  $\mu$ M Concentration of 5-HT and Test compound in a h5-HT<sub>1A</sub> [<sup>35</sup>S]GTP <sub>$\gamma$</sub> S CHO-cell Membrane Binding Assay.

Drug Combination	WAY-100635 Inhibition Potency, $IC_{50}$ , nM (95% Confidence Interval)	Goodness of Fit ( $r^2$ )
5-HT + WAY-100635	217.1 (127.4 to 369.7)	0.988
Test compound + WAY-100635	392.2 (224.1 to 686.2)	0.989



## CLAIMS

1. Use of a compound for the production of a medicament for treating a patient suffering from a disorder of the central nervous system associated with 5-HT<sub>1A</sub> receptor subtype, which the medicament comprises a therapeutically effective amount of a carbostyryl compound of formula (1):



wherein the carbon-carbon bond between 3- and 4-positions in the carbostyryl skeleton is a single or a double bond; and a pharmaceutically acceptable salt or solvate thereof.

2. The use of Claim 1 wherein the disorder is depression.

3. The use of Claim 1 wherein the disorder is treatment-resistant schizophrenia, treatment-resistant schizophrenia with cognitive impairments, inveterate schizophrenia, inveterate schizophrenia with cognitive impairments, chronic schizophrenia, or chronic schizophrenia with cognitive impairments.

4. The use of Claim 3 wherein the disorder is treatment-resistant schizophrenia, inveterate schizophrenia or chronic schizophrenia, which fails to

respond adequately to currently available antipsychotic drugs.

5. The use of Claim 3 wherein the disorder is treatment-resistant schizophrenia with cognitive impairments, inveterate schizophrenia with cognitive impairments or chronic schizophrenia with cognitive impairments, which fails to respond adequately to currently available antipsychotic drugs.

6. The use of Claim 4 wherein the currently available antipsychotic drugs are chlorpromazine, haloperidol, sulpiride, fluphenazine, perphenazine, thioridazine, pimozide, zotepine, risperidone, olanzapine, quetiapine, or amisulpride.

7. The use of Claim 5 wherein the currently available antipsychotic drugs are chlorpromazine, haloperidol, sulpiride, fluphenazine, perphenazine, thioridazine, pimozide, zotepine, risperidone, olanzapine, quetiapine, or amisulpride.

8. The use of Claim 4 wherein the currently available antipsychotic drugs are 1-3 typical antipsychotic drugs selected from chlorpromazine, haloperidol and perphenazine, and one atypical antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

9. The use of Claim 5 wherein the currently available antipsychotic drugs are 1-3 typical antipsychotic drugs selected from chlorpromazine, haloperidol and perphenazine, and one atypical

- 26 -

antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

10. The use of Claim 4 wherein the currently available antipsychotic drugs are two typical antipsychotic drugs selected from chlorpromazine, haloperidol and perphenazine, and one atypical antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

11. The use of Claim 5 wherein the currently available antipsychotic drugs are two typical antipsychotic drugs selected from chlorpromazine, haloperidol and perphenazine, and one atypical antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

12. The use of Claim 4 wherein the currently available antipsychotic drugs are one to two typical antipsychotic drugs selected from chlorpromazine and haloperidol, and one atypical antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

13. The use of Claim 5 wherein the currently available antipsychotic drugs are one to two typical antipsychotic drugs selected from chlorpromazine and haloperidol, and one atypical antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

14. The use of Claim 4 wherein the currently available antipsychotic drugs are two typical

- 27 -

antipsychotic drug selected from chlorpromazine and haloperidol, and one atypical antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

15. The use of Claim 5 wherein the currently available antipsychotic drugs are two typical antipsychotic drug selected from chlorpromazine and haloperidol, and one atypical antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

16. The use of Claim 1 wherein the disorder is autism, Down's syndrome, or attention deficit hyperactivity disorder (ADHD).

17. The use of Claim 1 wherein the disorder is a neurodegenerative disease.

18. The use of Claim 17 wherein the neurodegenerative disease is Alzheimer's disease or Parkinson's disease.

19. The use of Claim 1 wherein the disorder is panic, obsessive compulsive disorder (OCD), sleep disorders, sexual dysfunction, alcohol and drug addiction, emesis, motion sickness, obesity or migraine.

20. The use of Claim 1-19 wherein the carbostyryl compound is 7-{4-[4-(2,3-dichlorophenyl)-1-piperazinyl]butoxy}-3,4-dihydrocarbostyryl.

21. The use of claim 1 wherein the disorder is depression, such as endogenous depression, major

depression, melancholia or treatment-resistant depression; sexual dysfunction; alcohol abuse and drug addiction; cognitive impairments; neurodegenerative diseases, such as Alzheimer's disease or Parkinson's disease; autism; attention deficit hyperactivity disorder (ADHD); cognitive impairment caused by treatment-resistant schizophrenia, cognitive impairment caused by inveterate schizophrenia, or cognitive impairment caused by chronic schizophrenia.

22. The use of claim 1 wherein the disorder is depression, such as endogenous depression, major depression, melancholia or treatment-resistant depression.

23. The use of claim 1 wherein the disorder is cognitive impairment caused by treatment-resistant schizophrenia, cognitive impairment caused by inveterate schizophrenia, cognitive impairment caused by chronic schizophrenia.

24. The use of claim 23 wherein the disorder is cognitive impairment caused by treatment-resistant schizophrenia, cognitive impairment caused by inveterate schizophrenia, cognitive impairment caused by chronic schizophrenia, which fails to respond adequately to currently available antipsychotic drugs.

25. The use of claim 24 wherein the currently available antipsychotic drugs are chlorpromazine, haloperidol, sulpiride, fluphenazine, perphenazine, thioridazine, pimozone, zotepine, risperidone,

olanzapine, quetiapine, or amisulpride.

26. The use of claim 24 wherein the currently available antipsychotic drugs are 1-3 typical antipsychotic drugs selected from chlorpromazine, haloperidol and perphenazine, and one atypical antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

27. The use of claim 24 wherein the currently available antipsychotic drugs are two typical antipsychotic drugs selected from chlorpromazine, haloperidol and perphenazine, and one atypical antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

28. The use of claim 24 wherein the currently available antipsychotic drugs are one to two typical antipsychotic drugs selected from chlorpromazine and haloperidol, and one atypical antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

29. The use of claim 24 wherein the currently available antipsychotic drugs are two typical antipsychotic drug selected from chlorpromazine and haloperidol, and one atypical antipsychotic drug selected from risperidone, olanzapine, quetiapine, and amisulpride.

30. The use of claim 1 wherein the disorder is cognitive impairment caused by neurodegenerative disease.

31. The use of claim 30 wherein the cognitive impairment caused by neurodegenerative disease is Alzheimer's disease or Parkinson's disease.

32. The use of claims 21-31 wherein the carbostyryl compound is 7-{4-[4-(2,3-dichlorophenyl)-1-piperazinyl]butoxy}-3,4-dihydrocarbostyryl.

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
8 August 2002 (08.08.2002)

PCT

(10) International Publication Number  
WO 02/060423 A3

(51) International Patent Classification<sup>7</sup>: A61K 31/00,  
31/496, 45/06, A61P 25/24, 25/18, 25/28, 25/16, 25/06,  
25/30, 15/00, 3/04, 1/08

(21) International Application Number: PCT/JP02/00626

(22) International Filing Date: 29 January 2002 (29.01.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/770,210 29 January 2001 (29.01.2001) US

(71) Applicant: OTSUKA PHARMACEUTICAL CO.,  
LTD. [JP/JP]: 9, Kanda-Tsukasacho 2-chome, Chiy-  
oda-ku, Tokyo 101-8535 (JP).

(72) Inventors: JORDAN, Shaun; 21063 Sojourn Court, Ger-  
mantown, Maryland, MD 20876 (US). KIKUCHI, Tet-  
suro; 157-13, Kawauchicho Komatsunishi, Tokushima-shi,  
Tokushima 771-0104 (JP). TOTTORI, Katsura; 15-1,  
Kamirokujo, Kamiitacho, Itano-gun, Tokushima 771-1345

(JP). HIROSE, Tsuyoshi; 8-9-502, Sako Ichibancho,  
Tokushima-shi, Tokushima 770-0021 (JP). UWAHODO,  
Yasufumi; 70-8, Aza Miyanomae, Oujincho Furukawa,  
Tokushima-shi, Tokushima 771-1151 (JP).

(74) Agents: ASAMURA, Kiyoshi et al.; Room 331, New  
Ohtemachi Bldg., 2-1, Ohtemachi 2-chome, Chiyoda-ku,  
Tokyo 100-0004 (JP).

(81) Designated States (*national*): AU, BR, CA, CN, ID, IN,  
JP, KR, MX, PH, SG.

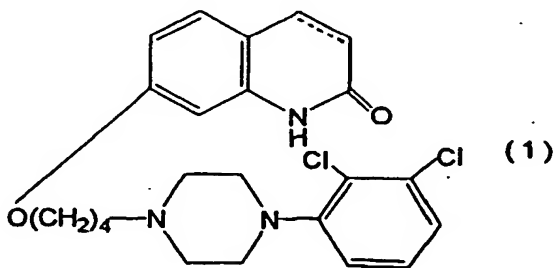
(84) Designated States (*regional*): European patent (AT, BE,  
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,  
NL, PT, SE, TR).

Published:  
— with international search report

(88) Date of publication of the international search report:  
10 April 2003

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: SUBSTITUTED CARBOSTYRIL DERIVATIVES AS 5-HT<sub>1A</sub> RECEPTOR SUBTYPE AGONISTS



(57) Abstract: The present invention relates to use of a compound for the production of a medicament for treating a patient suffering from a disorder of the central nervous system associated with 5-HT<sub>1A</sub> receptor subtype, which the medicament comprising as an active ingredient a carbostyryl derivative or a salt thereof represented by the formula (1), wherein the carbon-carbon bond between 3- and 4-positions in the carbostyryl skeleton is a single or a double bond; and a pharmaceutically acceptable salt or solvate thereof.

WO 02/060423 A3



## INTERNATIONAL SEARCH REPORT

In International Application No

PCT/JP 02/00626

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K31/00 A61K31/496 A61K45/06 A61P25/24 A61P25/18  
 A61P25/28 A61P25/16 A61P25/06 A61P25/30 A61P15/00  
 A61P3/04 A61P1/08

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, MEDLINE, SCISEARCH, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>LIEBERMAN JEFFREY A: "Atypical antipsychotic drugs as a first-line treatment of schizophrenia: A rationale and hypothesis."            JOURNAL OF CLINICAL PSYCHIATRY, vol. 57, no. SUPPL. 11, 1996, pages 68-71, XP008005973            ISSN: 0160-6689            abstract            tables 2,3            page 69, column 2, paragraph 1 - paragraph 2            page 70, column 1, paragraph 2            ---            -/---</p>	1,3-15, 20,21, 23-29,32



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

28 October 2002

Date of mailing of the international search report

21/11/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax (+31-70) 340-3016

Authorized officer

Cielen, E

## INTERNATIONAL SEARCH REPORT

In International Application No

PCT/JP 02/00626

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>US 4 764 416 A (OGURA AKEMI ET AL)  16 August 1988 (1988-08-16)  cited in the application  abstract  column 1, line 5 - line 60  column 2, line 49 - column 3, line 22  column 6, line 41 - line 42  column 29, line 15 - line 24  column 33, line 1  examples 54, 205, 233, 258, 294  claims 21, 28</p> <p>----</p>	<p>1, 2,  19-22, 32</p>
X	<p>DATABASE WPI  Week 199806  Derwent Publications Ltd., London, GB;  AN 1998-059088  XP002209299  JIYOUTEI YASUFUMI; NAKAI SHOZO ET AL.:  "Antianxiety agent"  &amp; JP 09 301867 A (OTSUKA PHARMA CO LTD),  25 November 1997 (1997-11-25)  cited in the application  abstract</p> <p>----</p>	<p>1, 19, 20</p>
X	<p>EP 0 367 141 A (OTSUKA PHARMA CO LTD)  9 May 1990 (1990-05-09)  cited in the application  abstract  page 3, line 4 - line 7  page 3, line 29 - line 36  example 1  claims 12, 13, 22, 23, 26-28, 31-33</p> <p>----</p>	<p>1, 20</p>
Y	<p>WO 98 08817 A (AMERICAN HOME PROD)  5 March 1998 (1998-03-05)</p> <p>abstract  page 6, line 6 - line 7  page 7, line 9 - line 14  page 20, line 1 - line 9</p> <p>----</p> <p>-/--</p>	<p>1-3,  17-22,  30-32</p>

## INTERNATIONAL SEARCH REPORT

In International Application No

PCT/JP 02/00626

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>PRINSSSEN ERIC P M ET AL: "Interactions between neuroleptics and 5-HT1A ligands in preclinical behavioral models for antipsychotic and extrapyramidal effects." PSYCHOPHARMACOLOGY, vol. 144, no. 1, May 1999 (1999-05), pages 20-29, XP002209298 ISSN: 0033-3158 abstract page 20, column 2, paragraph 1 -page 21, column 1, paragraph 2 page 25, column 2, paragraph 2 -page 26, column 1, paragraph 1 page 26, column 1, paragraph 3 -column 2, paragraph 2 page 27, column 1, paragraph 2 - paragraph 3 page 27, column 2, paragraph 3</p>	1,3-13, 20
Y	<p>WO 94 09765 A (UNIV NEW YORK) 11 May 1994 (1994-05-11)  abstract page 5, line 7 - line 37 page 6, line 25 -page 7, line 3 page 11, line 18 -page 12, line 2 page 28, line 18 - line 22 page 30, line 32 -page 31, line 18 claims 1,14,16</p>	1,2, 16-22, 30-32
Y	<p>US 5 691 330 A (NAKAO TOHRU ET AL) 25 November 1997 (1997-11-25) page 2, line 39 - line 67 column 3, line 28 -column 4, line 10</p>	1,5-7, 20,32
Y	<p>WO 99 38864 A (AMERICAN HOME PROD) 5 August 1999 (1999-08-05)  abstract page 1, line 6 - line 11 page 6, line 1 page 6, line 16 - line 24</p>	1,2, 17-20, 30-32
A	<p>UWAHODO YASUFUMI ET AL: "Pharmacological profile of OPC-14597, a novel antipsychotic drug (2): Weak extrapyramidal side effects." JAPANESE JOURNAL OF PHARMACOLOGY, vol. 67, no. SUPPL. 1, 1995, page 144P XP008005977 68th Annual Meeting of the Japanese Pharmacological Society;Nagoya, Japan; March 25-28, 1995 ISSN: 0021-5198 the whole document</p>	1,3-15, 20-29,32

-/-

## INTERNATIONAL SEARCH REPORT

Int ional Application No

PCT/JP 02/00626

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 94 13620 A (LUNDBECK & CO AS H ;PERREGAARD JENS KRISTIAN (DK)) 23 June 1994 (1994-06-23) abstract page 1, line 5 - line 9 page 2, line 16 - line 32 page 3, line 10 - line 14 page 3, line 31 -page 4, line 1 page 5, line 10 - line 19 page 28, line 37 -page 29, line 4 claims 9,10	1-5, 19, 21, 22
A	WO 92 20655 A (UPJOHN CO) 26 November 1992 (1992-11-26)  page 2, line 1 - line 7 page 5, line 15 - line 34 page 16, line 18 - line 25	1, 17-19, 21, 22, 30, 31
P, X	GARCIA-NANYA M., APIQUIAN R., FRESAN A.: "Atypical antipsychotics: Review article 'Los antipsycoticos atipicos: Una revision!" SALUD MENTAL, vol. 24, no. 5, October 2001 (2001-10), pages 37-43, XP008005976 abstract page 38, column 2, paragraph 2 - paragraph 4 page 39, column 2, paragraph 4	1-15, 20-29, 32
P, X	KECK P E ET AL: "BIPOLAR DISORDER" MEDICAL CLINICS OF NORTH AMERICA, W. B. SAUNDERS COMPANY, PHILADELPHIA, US, vol. 3, no. 85, May 2001 (2001-05), pages 645-661, XP008005975 ISSN: 0025-7125 page 645, paragraph 1 page 655, paragraph 3 page 656, paragraph 1 - paragraph 2 page 658, paragraph 2 - paragraph 3	1, 2, 20-22, 32
P, Y	JORDAN S ET AL: "IN VIVO EFFECTS OF ARIPRAZOLE ON DOPAMINERGIC AND SEROTONERGIC FUNCTION IN RAT PREFRONTAL CORTEX AND STRIATUM" SOCIETY FOR NEUROSCIENCE ABSTRACTS, SOCIETY FOR NEUROSCIENCE, US, vol. 2, no. 27, 2001, page 2327, AN87503 XP008005982 ISSN: 0190-5295 the whole document	1-13, 16-22, 30-32

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/JP 02/00626

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

## Continuation of Box I.2

Present claims 1, 17 and 21 relate to therapeutic applications which actually are not well-defined. The use of the definitions "a disorder of the central nervous system associated with 5HT1A receptor subtype" and "(a) neurodegenerative disease(s)" in the present context is considered to lead to a lack of clarity within the meaning of Article 6 PCT. The lack of clarity is such as to render a meaningful complete search impossible. Consequently, the search has been restricted to the diseases specifically mentioned in claims 2-5, 16, 18, 19, 21 (excluding neurodegenerative diseases in general), 22-24, 30 and 31 and in the description, p. 16, line 23 - p. 17, line 19, and to the claimed diseases which fail to respond to the antipsychotic drugs mentioned specifically in claims 6-15 and 25-29.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT  
Information on patent family members

International Application No  
PCT/JP 02/00626

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 4764416	A	16-08-1988	JP 2037513 C	28-03-1996
			JP 7070765 B	31-07-1995
			JP 63010569 A	18-01-1988
			JP 63019851 A	27-01-1988
			JP 1977014 C	17-10-1995
			JP 7007852 B	30-01-1995
			JP 63019852 A	27-01-1988
			DE 3721799 A1	21-01-1988
JP 9301867	A	25-11-1997	NONE	
EP 0367141	A	09-05-1990	BR 1100204 A3	01-08-2000
			CN 1042537 A ,B	30-05-1990
			DE 68925405 D1	22-02-1996
			DE 68925405 T2	27-06-1996
			DK 539789 A	01-05-1990
			EP 0367141 A2	09-05-1990
			ES 2084594 T3	16-05-1996
			HK 1002706 A1	11-09-1998
			JP 2893175 B2	17-05-1999
			JP 10045717 A	17-02-1998
			JP 2976282 B2	10-11-1999
			JP 10045718 A	17-02-1998
			JP 7165720 A	27-06-1995
			JP 2191256 A	27-07-1990
			JP 2608788 B2	14-05-1997
			KR 138529 B1	15-05-1998
			MX 9202934 A1	30-06-1992
			US 5006528 A	09-04-1991
WO 9808817	A	05-03-1998	AU 4091697 A	19-03-1998
			CN 1234023 A	03-11-1999
			EP 0923548 A1	23-06-1999
			JP 2000516936 T	19-12-2000
			WO 9808817 A1	05-03-1998
WO 9409765	A	11-05-1994	AU 5446894 A	24-05-1994
			WO 9409765 A1	11-05-1994
US 5691330	A	25-11-1997	US 5532240 A	02-07-1996
			CA 2104371 A1	27-06-1993
			EP 0596125 A1	11-05-1994
			WO 9313105 A1	08-07-1993
WO 9938864	A	05-08-1999	AU 2575399 A	16-08-1999
			CA 2317515 A1	05-08-1999
			CN 1289333 T	28-03-2001
			EP 1053235 A1	22-11-2000
			JP 2002501920 T	22-01-2002
			WO 9938864 A1	05-08-1999
			US 6057340 A	02-05-2000
WO 9413620	A	23-06-1994	AT 170168 T	15-09-1998
			AU 675262 B2	30-01-1997
			AU 5561794 A	04-07-1994
			CZ 9501518 A3	13-03-1996
			DE 69320652 D1	01-10-1998
			DE 69320652 T2	01-04-1999

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/JP 02/00626

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9413620	A		WO 9413620 A1	23-06-1994
			DK 673360 T3	25-05-1999
			EP 0673360 A1	27-09-1995
			ES 2119991 T3	16-10-1998
			FI 952823 A	08-06-1995
			HU 73633 A2	28-08-1996
			JP 8504409 T	14-05-1996
			NO 952274 A	02-08-1995
			NZ 258116 A	25-06-1996
			RU 2141474 C1	20-11-1999
			SG 48233 A1	17-04-1998
			SK 76295 A3	07-02-1996
			US 5807889 A	15-09-1998
			US 5972964 A	26-10-1999
			ZA 9309204 A	08-08-1994
WO 9220655	A	26-11-1992	AT 151751 T	15-05-1997
			AU 1928792 A	30-12-1992
			DE 69219121 D1	22-05-1997
			DE 69219121 T2	28-08-1997
			DK 586525 T3	20-10-1997
			EP 0586525 A1	16-03-1994
			ES 2103374 T3	16-09-1997
			GR 3023738 T3	30-09-1997
			JP 3155276 B2	09-04-2001
			JP 6511476 T	22-12-1994
			WO 9220655 A1	26-11-1992
			MX 9202292 A1	01-11-1992
			US 5486611 A	23-01-1996